

# Clustering Algorithms for Genetic Analysis with GeneMarker®

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## Introduction

Biological applications of data clustering include phylogeny analysis and community comparisons in ecology, gene expression pattern, enzymatic pathway mapping, and functional gene family classification in the bioinformatics field. (1) It has also been successfully paired with the AFLP analysis technique for a variety of applications. (2)

Amplified Fragment Length Polymorphisms (AFLP®) is a polymerase chain reaction (PCR)-based genetic fingerprinting technique developed in the early 1990's by Keygene\*. AFLP technology has the capability to detect polymorphisms in different genomic regions simultaneously. It is also highly sensitive and reproducible. As a result, AFLP has become widely used for the identification of genetic variation in strains or closely related species of plants, fungi, animals, and bacteria. AFLP technology has also been used in criminal and paternity tests, population genetics and linkage studies. (3)

As the results of AFLP are obtained, some researchers turn to novel statistical tools for analyzing the data. An example of this was in 2005 when Fearnley et al. applied a clustering algorithm to AFLP data. (4) The results helped differentiate the relationship between closely related strains of *Yersinia enterocolitica*, a bacterium that infects several species including humans, pigs, sheep, and cattle. The study found clustering analysis of AFLP data to be highly discriminatory.

GeneMarker is an easy-to-use, accurate fragment analysis tool and can perform analysis on up to 1,000 lanes of four or five color data sets generated by either slab gel or capillary electrophoresis. It is a unique genotyping tool as it is compatible with files from all major capillary and slab gel electrophoresis systems including ABI files (\*.FSA, \*.AB1, \*.ABI), SCF files, MegaBace files (\*.RSD, \*.ESD), SpectruMedix files (\*.SMD, \*.SMR), and Beckman files.

\*AFLP is a registered trademark of KeyGene, N.V.

## Procedure

There are two types of data clustering: hierarchical and partitional. Partitional clustering includes the K-means and Self-Organizing Map methods. Hierarchical clustering is the second method of clustering and is the method that is implemented in GeneMarker. Hierarchical Clustering treats each data point as a single cluster and successively merges clusters until all points have been merged into a single remaining cluster. Hierarchical clustering is often represented as a dendrogram. In GeneMarker, the hierarchical algorithm is agglomerative and establishes clusters from the bottom up.

## Distance Measure

The first step in hierarchical clustering is to select a distance measure. GeneMarker distance options include Euclidean Distance, Correlation Coefficient, and Percentage of Same Genotypes. Euclidean Distance is the straight line distance between two points in two or three dimensional space. The equation is essentially the same as that for determining the length of the hypotenuse of a triangle – computed by finding the square of the distance between each variable, summing the squares, and finding the square root of that sum. We have simplified this equation (below) in GeneMarker. The Correlation Coefficient is based on the Pearson Correlation equation and is a statistical concept that quantifies the level of relationship between two sets of measurements. It is a measure of similarity where two values that are perfectly correlated have a distance of 1.00. Percentage of Same Genotypes is simply the number of similar genotypes divided by the total number of genotypes.

The following are GeneMarker's clustering algorithms:

$$\text{Euclidean Distance: } \sum_{i=1}^n |(x_i - y_i)| \quad \text{Correlation Coefficient: } r = \sqrt{bb'} = \frac{n \sum xy - \sum x \sum y}{\sqrt{[n \sum x^2 - (\sum x)^2][n \sum y^2 - (\sum y)^2]}}$$

## Linkage

In addition to a distance measure, the type of linkage needs to be applied. GeneMarker has three options: Single, Complete, and Average linkage. Single linkage measures the minimum distance between two clusters. Clustering using single linkage tends to produce an effect called chaining where single genes are added to clusters one at a time. Complete linkage is the opposite of single linkage. It measures the distance between the farthest two points in the clusters. Complete linkage performs well when the clusters are well defined with minimal noise. Average linkage defines the distance between two clusters as the mean distance between all points in the clusters. It is important to note that choosing different linkage measures results in different cluster diagrams. We demonstrate in the Results section how different distance measure and linkage analysis settings have an effect on how the data are analyzed.

### Results

Notice how when just the distance measure is changed (Fig 1 & 2), the basic overall structure is similar, however; on closer examination the fine structure of ordering within the main clusters differs. The samples with “3” as the first character in the file name are grouped, as are the samples with the number “4”. The sole “7” sample is grouped in its own cluster in both examples. These results are as expected.

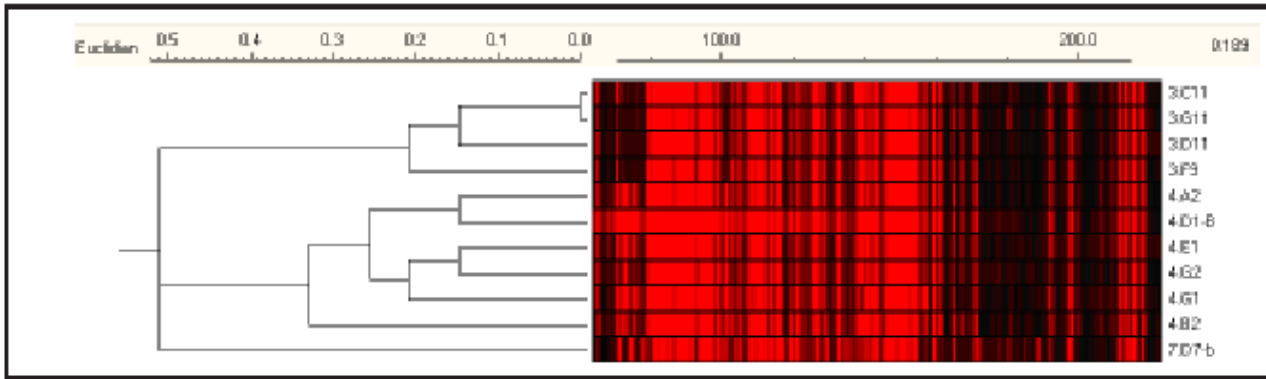


Fig. 1 Euclidean Distance Single Linkage

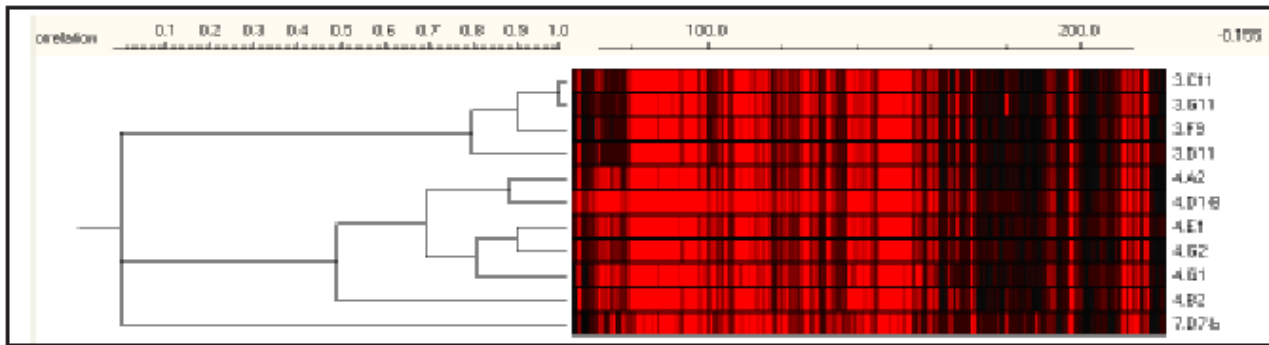
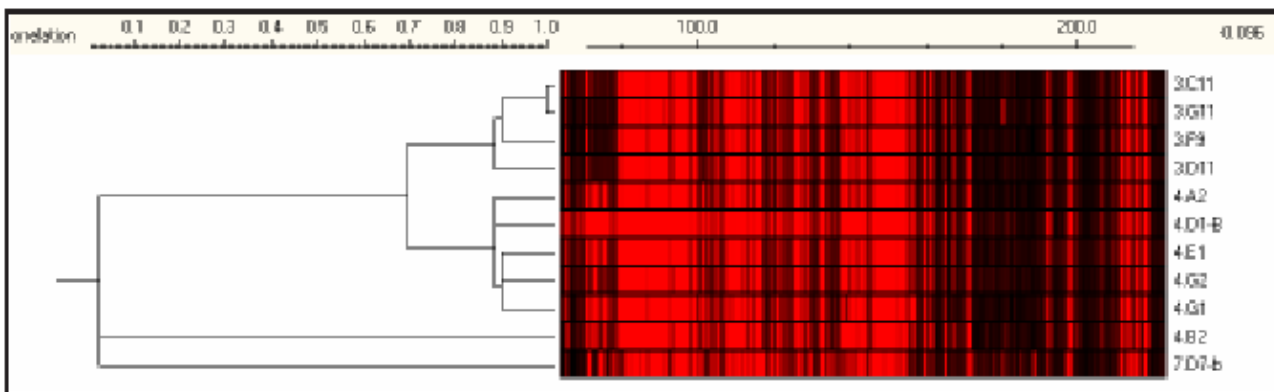
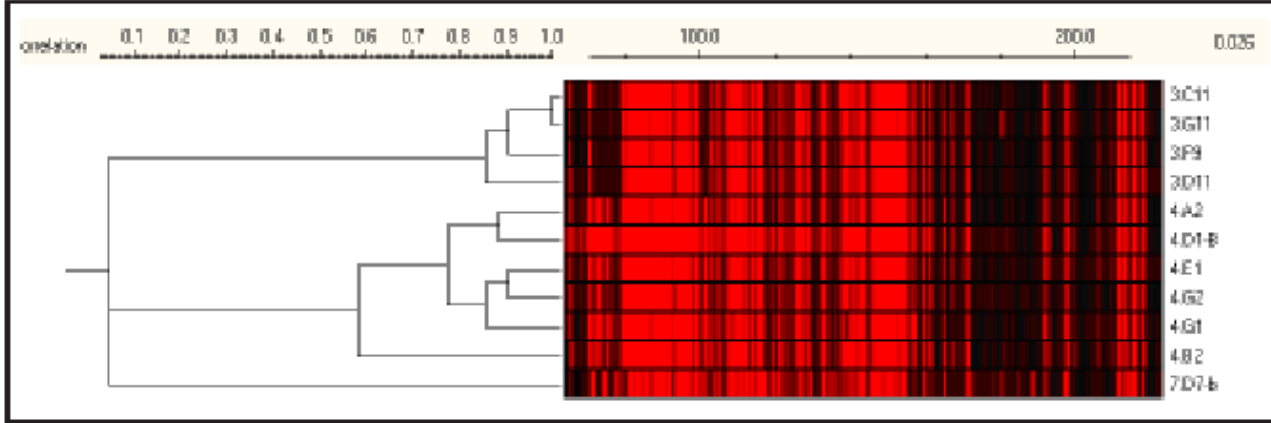


Fig. 2 Correlation Coefficient Single Linkage

When altering the analysis based just on linkage type and holding the distance measure constant (Figs 2-4), we see that the overall structure remains the same, however; the finer structure is greatly affected. Notice how in single linkage (Fig 2) the three main groups are independent of one another; where in complete linkage (Fig 3), the “4.B2” sample is separated from the main group. This is representative of complete linkage’s tendency to form smaller, compact clusters. It can also be seen from this example how average linkage (Fig 4) is an amalgam of single and complete linkage.



**Fig. 3 Correlation Coefficient Complete Linkage**



**Fig. 4 Correlation Coefficient Average Linkage**

In addition to dendrograms, GeneMarker outputs a Matrix Report to save as a Text (.txt) file. As mentioned in the Introduction, when the Correlation Coefficient distance measure is applied to the data, a value of 1.00 indicates a perfectly correlated pair. This can be observed in the Matrix Report where the row and column of the same sample meet (Fig 5).

|    | 1     | 2     | 3     | 4     | 5     | 6     | 7      | 8     | 9     | 10    | 11     |
|----|-------|-------|-------|-------|-------|-------|--------|-------|-------|-------|--------|
| 1  | 1.000 | 1.000 | 0.902 | 0.884 | 0.636 | 0.551 | 0.487  | 0.551 | 0.487 | 0.327 | 0.393  |
| 2  | 1.000 | 1.000 | 0.902 | 0.884 | 0.636 | 0.551 | 0.487  | 0.551 | 0.487 | 0.327 | 0.393  |
| 3  | 0.902 | 0.902 | 1.000 | 0.797 | 0.568 | 0.487 | 0.617  | 0.694 | 0.617 | 0.280 | 0.333  |
| 4  | 0.884 | 0.884 | 0.797 | 1.000 | 0.452 | 0.388 | 0.339  | 0.388 | 0.339 | 0.388 | 0.265  |
| 5  | 0.636 | 0.636 | 0.568 | 0.452 | 1.000 | 0.884 | 0.797  | 0.884 | 0.797 | 0.636 | 0.062  |
| 6  | 0.551 | 0.551 | 0.487 | 0.388 | 0.884 | 1.000 | 0.694  | 0.776 | 0.694 | 0.551 | 0.024  |
| 7  | 0.487 | 0.487 | 0.617 | 0.339 | 0.797 | 0.694 | 1.000  | 0.902 | 0.808 | 0.694 | -0.007 |
| 8  | 0.551 | 0.551 | 0.694 | 0.388 | 0.884 | 0.776 | 0.902  | 1.000 | 0.902 | 0.551 | 0.024  |
| 9  | 0.487 | 0.487 | 0.617 | 0.339 | 0.797 | 0.694 | 0.808  | 0.902 | 1.000 | 0.487 | 0.163  |
| 10 | 0.327 | 0.327 | 0.280 | 0.388 | 0.636 | 0.551 | 0.694  | 0.551 | 0.487 | 1.000 | 0.024  |
| 11 | 0.393 | 0.393 | 0.333 | 0.265 | 0.062 | 0.024 | -0.007 | 0.024 | 0.163 | 0.024 | 1.000  |

**Figure 5 Clustering Report**

## Discussion

As we have seen, the linkage method and distance metric chosen produce different clustering results. The following tables demonstrate the strengths and weaknesses of each parameter. (5)

|                   | <u>Euclidean Distance</u>                  | <u>Correlation Coefficient</u>             |
|-------------------|--|--|
| <b>Strengths</b>  | Geometric interpretation                   | Powerful                                   |
|                   | Retains up/down regulation scaling         | Detects positive and negative correlations |
|                   | Detects magnitude of changes w/out scaling | Scale invariant on centered data           |
| <b>Weaknesses</b> | Results depend on scaling used             | Assumes linearity                          |
|                   | Cannot detect negative correlations        | Susceptible to outliers                    |

|                   | <u>Single Linkage</u>  | <u>Complete Linkage</u>                        | <u>Average Linkage</u>  |
|-------------------|--|--|---|
| <b>Strengths</b>  | Simple analysis  | Highly informative                             | Most commonly used  |
|                   | Useful when data clusters well defined but have an irregular shape | Produces small, compact, well-defined clusters | Middle-road between the extremes of single and complete linkage               |
| <b>Weaknesses</b> | Chaining effect - single clusters added one at a time              | Does not perform well on noisy data            | Measure is an average, not an actual distance, making analysis more difficult |
|                   | Sensitive to outliers  | Forms many clusters                            |   |

Which parameters you choose are up to you – there is no right answer. We recommend that you apply all distance measures and linkage algorithms to your cluster analysis and look at the results to determine which method is right for your data.

## Acknowledgement

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